Dynamics for a system of screw dislocations

Marco Morandotti

joint work with Tim Blass (UCR), Irene Fonseca, and Giovanni Leoni (CMU)

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Dynamics of screw dislocations

What are dislocations?

Dislocations are defects in solid crystalline structures. A dislocation is characterized by its *Burgers vector*, which describes the lattice mismatch.



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Dislocations modify the physical and chemical properties of a material.



The study of dislocations began with (Volterra, Taylor)



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Dynamics of screw dislocations

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We do: dynamics for a system of screw dislocations subject to antiplane shear, in the context of linearised elasticity.



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Dynamics of screw dislocations

Dislocations

Let $\Omega \subset \mathbb{R}^2$ be a Lipschitz domain, let $\mathcal{Z} := \{\mathbf{z}_1, \ldots, \mathbf{z}_N\} \subset \Omega$ denote the set of dislocations sites, and let $\mathcal{B} := \{\mathbf{b}_1, \ldots, \mathbf{b}_N\}$ be the set of Burgers vectors associated with \mathcal{Z} . Let $\mu > 0$ and λ be the Lamé constants of the material and let $\mathbf{L} := \mu \operatorname{diag}(1, \lambda^2)$ be the associated elasticity tensor.



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We say that a vector field **h** in $\Omega \setminus Z$ corresponds to a system of dislocations at the points in Z with Burgers vectors \mathcal{B} if

$$\begin{cases} \operatorname{curl} \mathbf{h} = \sum_{i=1}^{N} \mathbf{b}_{i} \delta_{\mathbf{z}_{i}} & \operatorname{in} \Omega, \\ \operatorname{div} \mathbf{L} \mathbf{h} = 0 & \operatorname{in} \Omega, \end{cases} \quad \text{where } b_{i} = \int_{\gamma_{i}} \mathbf{h} \cdot d\mathbf{x}; \quad \mathbf{b}_{i} = b_{i} \mathbf{e}_{3}.$$

Here, γ_i is a closed CCW oriented path enclosing only the dislocation \mathbf{z}_i .

We consider the elastic energy

$$oldsymbol{J}(\mathbf{h}) = \int_{\Omega} W(\mathbf{h}(\mathbf{x})) \mathrm{d}\mathbf{x}.$$



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Explicit calculations (one dislocation in a disk) show that this energy explodes logarithmically. The idea is to consider a *regularized energy* by removing cores $C_{\varepsilon,i}$ of size ε around the dislocations to get rid of the singularities, and study the system in the perforated domain $\Omega_{\varepsilon} := \Omega \setminus (\bigcup_{i=1}^{N} C_{\varepsilon,i})$.



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$$m{J}_arepsilon({f h}) = \int_{\Omega_arepsilon} W({f h}({f x})) {
m d}{f x} = c |\logarepsilon| + U({f z}_1,\ldots,{f z}_N) + o(arepsilon).$$



The renormalized energy

At a minimum for the energy, the renormalized energy is given by

 $U(\mathbf{z}_1,\ldots,\mathbf{z}_N)=U_S(\mathbf{z}_1,\ldots,\mathbf{z}_N)+U_I(\mathbf{z}_1,\ldots,\mathbf{z}_N)+U_E(\mathbf{z}_1,\ldots,\mathbf{z}_N),$



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 $egin{aligned} U_S(\mathbf{z}_1,\ldots,\mathbf{z}_N) &= \sum_{i=1}^N rac{\mu\lambda b_i^2}{4\pi} \log R + \sum_{i=1}^N \int_{\Omega \setminus C_{R,i}} W(\mathbf{k}_i) \mathrm{d}\mathbf{x}, \ U_I(\mathbf{z}_1,\ldots,\mathbf{z}_N) &= \sum_{i=1}^{N-1} \sum_{j=i+1}^N \int_\Omega \mathbf{k}_j \cdot \mathbf{L} \mathbf{k}_i \mathrm{d}\mathbf{x}, \ U_E(\mathbf{z}_1,\ldots,\mathbf{z}_N) &= \int_\Omega W(
abla u_0) \mathrm{d}\mathbf{x} + \sum_{i=1}^N \int_{\partial\Omega} u_0 \mathbf{L} \mathbf{k}_i \cdot \mathbf{n} \, \mathrm{d}s, \end{aligned}$

where u_0 solves a Neumann problem in Ω .



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Force on a dislocation

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Force on a dislocation

To compute the force on a dislocation, we derive the renormalized energy with respect to the position of the dislocation.

Theorem

The gradient of the renormalized energy with respect to \mathbf{z}_{ℓ} is given in terms of the Eshelby stress $\mathbf{C} = W(\mathbf{h}_0)\mathbf{I} - \mathbf{h}_0(\mathbf{L}\mathbf{h}_0)^{\top}$. In particular,

$$\nabla_{\mathbf{z}_{\ell}} U(\mathbf{z}_1, \dots, \mathbf{z}_N) = -\int_{\partial C_{\ell,R}} \left\{ W(\mathbf{h}_0) \mathbf{I} - \mathbf{h}_0 (\mathbf{L} \mathbf{h}_0)^\top \right\} \mathbf{n} \, \mathrm{d}s.$$



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The Peach-Köhler force on the dislocation \mathbf{z}_{ℓ} has the expression

$$\mathbf{j}_{\ell}(\mathbf{z}_{\ell}) = -
abla_{\mathbf{z}_{\ell}} U = b_{\ell} \mathbf{J} \mathbf{L} \left(
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We want to be able to explain a picture like this



Fig. 1. Generalized gliding motions near a double slip curve $\mathscr{D}(e_1, e_2)$, with e_1 and e_2 the associated glide directions. The curve *abcdefg* corresponds to a dislocation that glides from *a* to *b*, cross slips at *b*, glides to *c*, cross slips finely from *c* to *d*, glides to *e*, cross slips finely to *f*, and then glides to *g*.



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We assume, for physical reasons, that only a finite number of directions is admissible for motion. Call $\mathcal{G} := \{\mathbf{g}_1, \ldots, \mathbf{g}_M\} \subset \mathbb{S}^1$ the set of the *glide directions*.



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Image: A math a math

We assume, for physical reasons, that only a finite number of directions is admissible for motion. Call $\mathcal{G} := \{\mathbf{g}_1, \ldots, \mathbf{g}_M\} \subset \mathbb{S}^1$ the set of the *glide directions*. The equation of motion for every single dislocation is

 $\dot{\mathbf{z}}_{\ell} = (\mathbf{j}_{\ell} \cdot \mathbf{g}_{\ell}) \mathbf{g}_{\ell} \,,$

where $\mathbf{g}_{\ell} \in \mathcal{G}$ is the direction that maximises the dissipation, *i.e.*,

 $\mathbf{g}_{\ell} \in \arg \max{\{\mathbf{j}_{\ell} \cdot \mathbf{g} : \mathbf{g} \in \mathcal{G}\}}.$



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 $\mathbf{g}_{\ell} \in \{\mathbf{g}_{\ell}^{-}(\mathbf{z}_1,\ldots,\mathbf{z}_N), \mathbf{g}_{\ell}^{+}(\mathbf{z}_1,\ldots,\mathbf{z}_N)\}.$

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At those ambiguity points where the right-hand side $(\mathbf{j}_{\ell} \cdot \mathbf{g}_{\ell})\mathbf{g}_{\ell}$ fails to be single-valued, a weaker notion of solution is needed. The theory developed by Filippov for ODE's takes care of this aspect and allows us to recover the results one can expect from heuristics. Those results are also supported by numerical and experimental evidence.



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The ambiguity set \mathcal{A} is given by solving $\mathbf{j}_{\ell} \cdot \mathbf{g} = 0$ for $\mathbf{g} = \mathbf{g}_{\ell}^+ - \mathbf{g}_{\ell}^ \mathbf{g}_{\ell}^\pm \in \mathcal{G}$, dissipation-maximizing directions.



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• $\Omega = \mathbb{R}^2$. The set \mathcal{A} is the union of hyperplanes.



At those ambiguity points where the right-hand side $(\mathbf{j}_{\ell} \cdot \mathbf{g}_{\ell})\mathbf{g}_{\ell}$ fails to be single-valued, a weaker notion of solution is needed. The theory developed by Filippov for ODE's takes care of this aspect and allows us to recover the results one can expect from heuristics. Those results are also supported by numerical and experimental evidence.

The ambiguity set \mathcal{A} is given by solving $\mathbf{j}_{\ell} \cdot \mathbf{g} = 0$ for $\mathbf{g} = \mathbf{g}_{\ell}^+ - \mathbf{g}_{\ell}^ \mathbf{g}_{\ell}^\pm \in \mathcal{G}$, dissipation-maximizing directions.

- $\Omega = \mathbb{R}^2$. The set \mathcal{A} is the union of hyperplanes.
- $\Omega \subset \mathbb{R}^2$. The structure of \mathcal{A} is more complicated, due to the presence of the term ∇u_0 in the expression of the force.


Set $\mathbf{Z} := (\mathbf{z}_1, \dots, \mathbf{z}_N) \in \Omega^N$ and $\mathcal{G}_{\ell}(\mathbf{Z}) := \arg \max\{\mathbf{j}_{\ell}(\mathbf{Z}) \cdot \mathbf{g} : \mathbf{g} \in \mathcal{G}\}.$



Marco Morandotti (SISSA)

Dynamics of screw dislocations

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 $\left\{ \begin{array}{ll} \dot{\mathbf{z}}_{\ell} \in \boldsymbol{F}_{\ell}(\mathbf{Z}), \\ \mathbf{z}_{\ell}(0) = \mathbf{z}_{\ell,0}, \end{array} \right. \qquad \boldsymbol{F}_{\ell}(\mathbf{Z}) := \{ \left(\mathbf{j}_{\ell}(\mathbf{Z}) \cdot \mathbf{g} \right) \mathbf{g} : \mathbf{g} \in \mathcal{G}_{\ell}(\mathbf{Z}) \},$

for given initial conditions $\mathbf{z}_{1,0}, \ldots, \mathbf{z}_{N,0} \in \Omega$.



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for given initial conditions $\mathbf{z}_{1,0}, \ldots, \mathbf{z}_{N,0} \in \Omega$.

 $F_{\ell}(Z) = \begin{cases} \{0\} & \text{if } j_{\ell}(Z) = 0, \\ \{(j_{\ell}(Z) \cdot g_{\ell}(Z)) \, g_{\ell}(Z)\} & \text{if } j_{\ell}(Z) \neq 0 \text{ and } \mathcal{G}_{\ell}(Z) = \{g_{\ell}(Z)\}, \\ \{(j_{\ell}(Z) \cdot g_{\ell}^{\pm}(Z)) \, g_{\ell}^{\pm}(Z)\} & \text{if } j_{\ell}(Z) \neq 0 \text{ and } \mathcal{G}_{\ell}(Z) = \{g_{\ell}^{\pm}(Z)\}. \end{cases}$



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$$\left\{egin{array}{ll} \dot{\mathbf{Z}}\in F(\mathbf{Z}), \ \mathbf{Z}(0)=\mathbf{Z}_0, \end{array}
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 $\begin{cases} \dot{\mathbf{Z}} \in F(\mathbf{Z}), \\ \mathbf{Z}(0) = \mathbf{Z}_0, \end{cases} \quad F(\mathbf{Z}) := F_1(\mathbf{Z}) \times \cdots \times F_N(\mathbf{Z}), \end{cases}$

for given initial conditions $\mathbf{Z}_0 := (\mathbf{z}_{1,0}, \dots, \mathbf{z}_{N,0})$. Filipov's recipe tells us to look at the system

 $\left\{ egin{array}{ll} \dot{\mathbf{Z}}\in \mathbf{co}F(\mathbf{Z}), \ \mathbf{Z}(0)=\mathbf{Z}_{0}. \end{array}
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The local existence theorem

Set $\mathcal{D}(\mathbf{F}) := \Omega^N \setminus \cup_{j < k} \prod_{jk}$.

Theorem (Local Existence)

Let $\Omega \subset \mathbb{R}^2$ be a connected open set, let $\mathbb{Z}_0 \in \mathcal{D}(F)$ be a given initial configuration of dislocations. Then the initial value problem

 $\left\{ \begin{array}{l} \dot{\mathbf{Z}} \in \mathbf{co} \boldsymbol{F}(\mathbf{Z}), \\ \mathbf{Z}(0) = \mathbf{Z}_0. \end{array} \right.$

has a solution $\mathbf{Z} : [0, T] \to \mathcal{D}(F)$.



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has a solution $\mathbf{Z} : [0, T] \to \mathcal{D}(F)$.

In view of the definition of $\mathcal{D}(F)$ and of how T is determined, solutions exists as long as dislocations stay away from $\partial\Omega$ and do not collide.





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Dynamics of screw dislocations

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Lemma

The ambiguity set A is contained in a countable union of smooth manifolds of dimension $\leq 2N - 1$.



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Dynamics of screw dislocations

Lemma

The ambiguity set \mathcal{A} is contained in a countable union of smooth manifolds of dimension $\leq 2N - 1$. Moreover, the set $\mathcal{S}_{\ell} := \{ \mathbf{Z} \in \mathcal{D}(F) : \mathbf{j}_{\ell}(\mathbf{Z}) \cdot \mathbf{g}_0 = 0, \nabla_{\mathbf{Z}}(\mathbf{j}_{\ell}(\mathbf{Z}) \cdot \mathbf{g}_0) = 0 \}$ has dimension $\leq 2N - 2$, for every $\ell \in \{1, \ldots, N\}$.



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These conditions ensure we can prove

Theorem (Local Uniqueness – user-friendly version)

If the initial configuration $\mathbb{Z}_0 \in \mathcal{A}_{\ell} \setminus (\mathcal{S}_{\ell} \cup \mathcal{E}_{\text{zero}} \cup \mathcal{E}_{\text{src}})$ for some $\ell \in \{1, \ldots, N\}$, then (right) uniqueness holds.



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Uniqueness holds until either a collision occurs, or a dislocation hits the boundary, or a source is reached, or the ambiguity set is no longer smooth.

Marco Morandotti (SISSA)

Dynamics of screw dislocations

Cross-Slip and Fine Cross-Slip





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Dynamics of screw dislocations

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Cross-Slip and Fine Cross-Slip



Marco Morandotti (SISSA)

Dynamics of screw dislocations

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Motion - I: one dislocation in the half-plane Let us consider a single dislocation $\mathbf{z} = (0, z_2)$ with Burgers modulus b, and let $\Omega = \mathbb{R}^2_+$ be the upper half-plane. Let also $\mathbf{L} = \mathbf{I}$.



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 $\mathbf{j}(\mathbf{z}) = b\mathbf{J}\nabla u_0(\mathbf{z})$



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$$\mathbf{j}(\mathbf{z}) = b \mathbf{J}
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If $-\mathbf{e}_2\in\mathcal{G}$, then the equation of motion is

$$\left(egin{array}{c} \dot{z}_1 \ \dot{z}_2 \end{array}
ight) = -rac{b^2}{4\pi} \left(egin{array}{c} 0 \ z_2 \end{array}
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Let us consider a single dislocation $\mathbf{z} = (0, z_2)$ with Burgers modulus b, and let $\Omega = \mathbb{R}^2_+$ be the upper half-plane. Let also $\mathbf{L} = \mathbf{I}$. The Peach-Köhler force reads

$$\mathbf{j}(\mathbf{z}) = b \mathbf{J}
abla u_0(\mathbf{z}) = rac{b^2}{4\pi z_2} \left(egin{array}{c} 0 \ -1 \end{array}
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This can be solved and we get

$$egin{aligned} & z_1(t)=0, \; orall t; \quad z_2(t)=\sqrt{d_0^2-rac{b^2}{2\pi}t}, \; t\in[0,T], \qquad T=rac{2\pi}{b^2}d_0^2. \end{aligned}$$

If $-\mathbf{e}_2 \notin \mathcal{G}$, then two possible cases arise: either there exists a unique $\mathbf{g} \in \mathcal{G}$ maximizing the power expended, or there exist two such directions \mathbf{g}^{\pm} .



Image: A image: A

Image: A matrix and a matrix

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The solution is

$$z_2(t) = \sqrt{d_0^2 - \frac{b^2 g_2^2}{2\pi} t}, \ z_1(t) = \frac{g_1}{g_2} \sqrt{d_0^2 - \frac{b^2 g_2^2}{2\pi} t} - \frac{g_1}{g_2} d_0, \ t \in [0, T']$$

Let us now consider a single dislocation $\mathbf{z} = re^{i\theta}$ in the unit disk.



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Dynamics of screw dislocations

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If not, more complicated expression; problem still solvable.



Consider now a system $\{\mathbf{z}_i\}_{i=1}^N \subset \mathbb{R}^2_+$ of N dislocations in the half-plane.



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Yet, the expression of the force above allows us to say that the ambiguity set is smooth away from collisions, and this is good for the dynamics.



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Image: A matrix a

Motion - IV: some simulations





Remarks and conclusions

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Thank you very much for your attention!



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