

# The structure of balanced big CM modules over CM rings

AMS EMS SPM Porto meeting 2015

SS 32: *Homological and Combinatorial Commutative Algebra*

Henrik Holm

E-mail: [holm@math.ku.dk](mailto:holm@math.ku.dk)

URL: <http://www.math.ku.dk/~holm/>

(Preprint on arXiv: <http://arxiv.org/abs/1408.5152>)

## Motivation

Let  $\mathcal{C}$  be a collection of finitely generated (f.g.) modules.

## Motivation

Let  $\mathcal{C}$  be a collection of finitely generated (f.g.) modules.

**Question.** Can we “describe”  $\varinjlim \mathcal{C}$  ?

## Motivation

Let  $\mathcal{C}$  be a collection of finitely generated (f.g.) modules.

**Question.** Can we “describe”  $\varinjlim \mathcal{C}$  ?

- $\varinjlim \{\text{all f.g. modules}\} = \{\text{all modules}\}$

## Motivation

Let  $\mathcal{C}$  be a collection of finitely generated (f.g.) modules.

**Question.** Can we “describe”  $\varinjlim \mathcal{C}$  ?

- $\varinjlim \{\text{all f.g. modules}\} = \{\text{all modules}\}$
- $\varinjlim \{\text{f.g. projective modules}\} = \{\text{flat modules}\}$

## Motivation

Let  $\mathcal{C}$  be a collection of finitely generated (f.g.) modules.

**Question.** Can we “describe”  $\varinjlim \mathcal{C}$ ?

- $\varinjlim \{\text{all f.g. modules}\} = \{\text{all modules}\}$
- $\varinjlim \{\text{f.g. projective modules}\} = \{\text{flat modules}\}$

**Remark.** Every module  $M$  can be written

$$M \cong \varinjlim M_i \quad \text{where each } M_i \text{ is f.g.}$$

## Motivation

Let  $\mathcal{C}$  be a collection of finitely generated (f.g.) modules.

**Question.** Can we “describe”  $\varinjlim \mathcal{C}$ ?

- $\varinjlim \{\text{all f.g. modules}\} = \{\text{all modules}\}$
- $\varinjlim \{\text{f.g. projective modules}\} = \{\text{flat modules}\}$

**Remark.** Every module  $M$  can be written

$$M \cong \varinjlim M_i \quad \text{where each } M_i \text{ is f.g.}$$

**But** if  $M$  has some (homological) properties, then one can **not** (in general) choose the  $M_i$ 's to have the same properties.

## Motivation

Let  $\mathcal{C}$  be a collection of finitely generated (f.g.) modules.

**Question.** Can we “describe”  $\varinjlim \mathcal{C}$  ?

- $\varinjlim \{\text{all f.g. modules}\} = \{\text{all modules}\}$
- $\varinjlim \{\text{f.g. projective modules}\} = \{\text{flat modules}\}$

**Remark.** Every module  $M$  can be written

$$M \cong \varinjlim M_i \quad \text{where each } M_i \text{ is f.g.}$$

**But** if  $M$  has some (homological) properties, then one can **not** (in general) choose the  $M_i$ 's to have the same properties.

### Example (Lazard, 1969)

Let  $R = k[[x, y, z]]/(xz, yz, z^2)$ . There is an  $R$ -module  $M$  with  $\text{fd}_R M = 1$  such that  $M$  can **not** be written as a direct limit  $M = \varinjlim M_i$  of finitely generated modules  $M_i$  with  $\text{fd}_R M_i \leq 1$ .



# Big CM modules

**Setup.**  $(R, \mathfrak{m}, k)$  commutative noetherian local ring.

## Big CM modules

**Setup.**  $(R, \mathfrak{m}, k)$  commutative noetherian local ring.

Let  $M$  be an  $R$ -module (not necessarily finitely generated).

## Big CM modules

**Setup.**  $(R, \mathfrak{m}, k)$  commutative noetherian local ring.

Let  $M$  be an  $R$ -module (not necessarily finitely generated).

A sequence  $x_1, \dots, x_n \in \mathfrak{m}$  is said to be  $M$ -regular if

## Big CM modules

**Setup.**  $(R, \mathfrak{m}, k)$  commutative noetherian local ring.

Let  $M$  be an  $R$ -module (not necessarily finitely generated).

A sequence  $x_1, \dots, x_n \in \mathfrak{m}$  is said to be  $M$ -regular if

(1) Every  $x_i$  is a non-zerodivisor on  $M/(x_1, \dots, x_{i-1})M$ , and

## Big CM modules

**Setup.**  $(R, \mathfrak{m}, k)$  commutative noetherian local ring.

Let  $M$  be an  $R$ -module (not necessarily finitely generated).

A sequence  $x_1, \dots, x_n \in \mathfrak{m}$  is said to be  $M$ -regular if

- (1) Every  $x_i$  is a non-zerodivisor on  $M/(x_1, \dots, x_{i-1})M$ , and
- (2)  $(x_1, \dots, x_n)M \neq M$ .

## Big CM modules

**Setup.**  $(R, \mathfrak{m}, k)$  commutative noetherian local ring.

Let  $M$  be an  $R$ -module (not necessarily finitely generated).

A sequence  $x_1, \dots, x_n \in \mathfrak{m}$  is said to be  $M$ -regular if

- (1) Every  $x_i$  is a non-zerodivisor on  $M/(x_1, \dots, x_{i-1})M$ , and
- (2)  $(x_1, \dots, x_n)M \neq M$ .

If only (1) holds, then  $x_1, \dots, x_n$  is a **weak  $M$ -regular** sequence.

## Big CM modules

**Setup.**  $(R, \mathfrak{m}, k)$  commutative noetherian local ring.

Let  $M$  be an  $R$ -module (not necessarily finitely generated).

A sequence  $x_1, \dots, x_n \in \mathfrak{m}$  is said to be  $M$ -regular if

- (1) Every  $x_i$  is a non-zerodivisor on  $M/(x_1, \dots, x_{i-1})M$ , and
- (2)  $(x_1, \dots, x_n)M \neq M$ .

If only (1) holds, then  $x_1, \dots, x_n$  is a **weak  $M$ -regular** sequence.

### Definition (Hochster)

- $M$  is called **big CM** if  
    **some** s.o.p. for  $R$  is an  $M$ -regular sequence.

## Big CM modules

**Setup.**  $(R, \mathfrak{m}, k)$  commutative noetherian local ring.

Let  $M$  be an  $R$ -module (not necessarily finitely generated).

A sequence  $x_1, \dots, x_n \in \mathfrak{m}$  is said to be  **$M$ -regular** if

- (1) Every  $x_i$  is a non-zerodivisor on  $M/(x_1, \dots, x_{i-1})M$ , and
- (2)  $(x_1, \dots, x_n)M \neq M$ .

If only (1) holds, then  $x_1, \dots, x_n$  is a **weak  $M$ -regular** sequence.

### Definition (Hochster)

- $M$  is called **big CM** if **some** s.o.p. for  $R$  is an  $M$ -regular sequence.
- $M$  is called **balanced big CM** if **every** s.o.p. for  $R$  is an  $M$ -regular sequence.



## Big CM modules

**Setup.**  $(R, \mathfrak{m}, k)$  commutative noetherian local ring.

Let  $M$  be an  $R$ -module (not necessarily finitely generated).

A sequence  $x_1, \dots, x_n \in \mathfrak{m}$  is said to be  **$M$ -regular** if

- (1) Every  $x_i$  is a non-zerodivisor on  $M/(x_1, \dots, x_{i-1})M$ , and
- (2)  $(x_1, \dots, x_n)M \neq M$ .

If only (1) holds, then  $x_1, \dots, x_n$  is a **weak  $M$ -regular** sequence.

### Definition (Hochster)

- $M$  is called **big CM** if **some** s.o.p. for  $R$  is an  $M$ -regular sequence.
- $M$  is called **balanced big CM** if **every** s.o.p. for  $R$  is an  $M$ -regular sequence.

**small CM** = finitely generated (balanced) big CM – or zero.

A big CM module need not be balanced:

### Example (Griffith, 1976)

Let  $R = k[[x, y]]$ . Set  $E = E_R(R/(y))$  and  $M = R \oplus E$ .

A big CM module need not be balanced:

### Example (Griffith, 1976)

Let  $R = k[[x, y]]$ . Set  $E = E_R(R/(y))$  and  $M = R \oplus E$ .

- $E \xrightarrow{x} E$  is an automorphism since  $x \notin (y)$ .

A big CM module need not be balanced:

### Example (Griffith, 1976)

Let  $R = k[[x, y]]$ . Set  $E = E_R(R/(y))$  and  $M = R \oplus E$ .

- $E \xrightarrow{x} E$  is an automorphism since  $x \notin (y)$ .

Thus  $x$  is a non-zerodivisor on  $M$  with

$$M/xM \cong R/(x).$$

A big CM module need not be balanced:

### Example (Griffith, 1976)

Let  $R = k[[x, y]]$ . Set  $E = E_R(R/(y))$  and  $M = R \oplus E$ .

- $E \xrightarrow{x} E$  is an automorphism since  $x \notin (y)$ .

Thus  $x$  is a non-zerodivisor on  $M$  with

$$M/xM \cong R/(x).$$

Hence  $y$  is a non-zerodivisor on  $M/xM$  with

$$M/(x, y)M \cong R/(x, y) \neq 0.$$

A big CM module need not be balanced:

### Example (Griffith, 1976)

Let  $R = k[[x, y]]$ . Set  $E = E_R(R/(y))$  and  $M = R \oplus E$ .

- $E \xrightarrow{x} E$  is an automorphism since  $x \notin (y)$ .

Thus  $x$  is a non-zerodivisor on  $M$  with

$$M/xM \cong R/(x).$$

Hence  $y$  is a non-zerodivisor on  $M/xM$  with

$$M/(x, y)M \cong R/(x, y) \neq 0.$$

The s.o.p.  $x, y$  of  $R$  is an  $M$ -regular sequence.

*Thus,  $M$  is big CM.*

A big CM module need not be balanced:

### Example (Griffith, 1976)

Let  $R = k[[x, y]]$ . Set  $E = E_R(R/(y))$  and  $M = R \oplus E$ .

- $E \xrightarrow{x} E$  is an automorphism since  $x \notin (y)$ .

Thus  $x$  is a non-zerodivisor on  $M$  with

$$M/xM \cong R/(x).$$

Hence  $y$  is a non-zerodivisor on  $M/xM$  with

$$M/(x, y)M \cong R/(x, y) \neq 0.$$

The s.o.p.  $x, y$  of  $R$  is an  $M$ -regular sequence.

*Thus,  $M$  is big CM.*

- The s.o.p.  $y, x$  is not an  $M$ -regular sequence as  $E \xrightarrow{y} E$  is not injective.

A big CM module need not be balanced:

### Example (Griffith, 1976)

Let  $R = k[[x, y]]$ . Set  $E = E_R(R/(y))$  and  $M = R \oplus E$ .

- $E \xrightarrow{x} E$  is an automorphism since  $x \notin (y)$ .

Thus  $x$  is a non-zerodivisor on  $M$  with

$$M/xM \cong R/(x).$$

Hence  $y$  is a non-zerodivisor on  $M/xM$  with

$$M/(x, y)M \cong R/(x, y) \neq 0.$$

The s.o.p.  $x, y$  of  $R$  is an  $M$ -regular sequence.

*Thus,  $M$  is big CM.*

- The s.o.p.  $y, x$  is not an  $M$ -regular sequence as  $E \xrightarrow{y} E$  is not injective.

*Thus,  $M$  is not balanced big CM.*



## A conjecture by Hochster

### Conjecture (Hochster)

Every local ring  $R$  has a (balanced) big CM module.

## A conjecture by Hochster

### Conjecture (Hochster)

Every local ring  $R$  has a (balanced) big CM module.

The conjecture has been settled affirmatively in many cases, e.g. if  $R$  contains a field (Hochster).

(Maybe the conjecture has even been proved by now?)

## A conjecture by Hochster

### Conjecture (Hochster)

Every local ring  $R$  has a (balanced) big CM module.

The conjecture has been settled affirmatively in many cases, e.g. if  $R$  contains a field (Hochster).

(Maybe the conjecture has even been proved by now?)

*We consider a situation where the conjecture is trivially true:*

**New setup.** Let  $(R, \mathfrak{m}, k)$  be a commutative noetherian local **Cohen–Macaulay** ring with a dualizing module  $\Omega$ .

## A conjecture by Hochster

### Conjecture (Hochster)

Every local ring  $R$  has a (balanced) big CM module.

The conjecture has been settled affirmatively in many cases, e.g. if  $R$  contains a field (Hochster).

(Maybe the conjecture has even been proved by now?)

*We consider a situation where the conjecture is trivially true:*

**New setup.** Let  $(R, \mathfrak{m}, k)$  be a commutative noetherian local **Cohen–Macaulay** ring with a dualizing module  $\Omega$ .

### Question

Do all big CM modules share some kind of common structure?

## Theorem A

Every balanced big CM module can be obtained as a direct limit of small CM modules.

## Theorem A

Every balanced big CM module can be obtained as a direct limit of small CM modules.

I am *not* claiming that *every* direct limit of (even non-zero) small CM modules will be balanced big CM. For example,

$$\varinjlim (R \xrightarrow{0} R \xrightarrow{0} R \xrightarrow{0} \dots) \cong 0.$$

## Theorem A

Every balanced big CM module can be obtained as a direct limit of small CM modules.

I am *not* claiming that *every* direct limit of (even non-zero) small CM modules will be balanced big CM. For example,

$$\varinjlim (R \xrightarrow{0} R \xrightarrow{0} R \xrightarrow{0} \dots) \cong 0.$$

Theorem A is a consequence of:

## Theorem B

For every  $R$ -module  $M$ , the following conditions are equivalent:

## Theorem A

Every balanced big CM module can be obtained as a direct limit of small CM modules.

I am *not* claiming that *every* direct limit of (even non-zero) small CM modules will be balanced big CM. For example,

$$\varinjlim (R \xrightarrow{0} R \xrightarrow{0} R \xrightarrow{0} \dots) \cong 0.$$

Theorem A is a consequence of:

## Theorem B

For every  $R$ -module  $M$ , the following conditions are equivalent:

- (i)  $M$  is a direct limit of small CM  $R$ -modules.



## Theorem A

Every balanced big CM module can be obtained as a direct limit of small CM modules.

I am *not* claiming that *every* direct limit of (even non-zero) small CM modules will be balanced big CM. For example,

$$\varinjlim (R \xrightarrow{0} R \xrightarrow{0} R \xrightarrow{0} \dots) \cong 0.$$

Theorem A is a consequence of:

## Theorem B

For every  $R$ -module  $M$ , the following conditions are equivalent:

- (i)  $M$  is a direct limit of small CM  $R$ -modules.
- (ii) Every s.o.p. for  $R$  is a weak  $M$ -regular sequence.

## Theorem A

Every balanced big CM module can be obtained as a direct limit of small CM modules.

I am *not* claiming that *every* direct limit of (even non-zero) small CM modules will be balanced big CM. For example,

$$\varinjlim (R \xrightarrow{0} R \xrightarrow{0} R \xrightarrow{0} \dots) \cong 0.$$

Theorem A is a consequence of:

## Theorem B

For every  $R$ -module  $M$ , the following conditions are equivalent:

- (i)  $M$  is a direct limit of small CM  $R$ -modules.
- (ii) Every s.o.p. for  $R$  is a weak  $M$ -regular sequence.
- (iii)  $M$  is Gorenstein flat viewed as a module over  $R \times \Omega$ .

## Theorem A

Every balanced big CM module can be obtained as a direct limit of small CM modules.

I am *not* claiming that *every* direct limit of (even non-zero) small CM modules will be balanced big CM. For example,

$$\varinjlim (R \xrightarrow{0} R \xrightarrow{0} R \xrightarrow{0} \dots) \cong 0.$$

Theorem A is a consequence of:

## Theorem B

For every  $R$ -module  $M$ , the following conditions are equivalent:

- (i)  $M$  is a direct limit of small CM  $R$ -modules.
- (ii) Every s.o.p. for  $R$  is a weak  $M$ -regular sequence.
- (iii)  $M$  is Gorenstein flat viewed as a module over  $R \times \Omega$ .

Enochs, Jenda, and Torrecillas defined **Gorenstein flat** modules.

# Application I (regular rings)

1/3

Recall that an  $R$ -module  $M$  is called **balanced big CM** if

- Every s.o.p. for  $R$  is an  $M$ -regular sequence.

## Terminology

An  $R$ -module  $M$  is called **weak balanced big CM** if

- Every s.o.p. for  $R$  is a *weak*  $M$ -regular sequence.

# Application I (regular rings)

1/3

Recall that an  $R$ -module  $M$  is called **balanced big CM** if

- Every s.o.p. for  $R$  is an  $M$ -regular sequence.

## Terminology

An  $R$ -module  $M$  is called **weak balanced big CM** if

- Every s.o.p. for  $R$  is a *weak*  $M$ -regular sequence.

Now the equivalence (i)  $\Leftrightarrow$  (ii) in Theorem B can be phrased as:

$$\varinjlim \{\text{small CM modules}\} = \{\text{weak balanced big CM modules}\}$$

## Application I (regular rings)

2/3

Assume that  $R$  is a PID. In this case:

## Application I (regular rings)

2/3

Assume that  $R$  is a PID. In this case:

$$\begin{aligned}\varinjlim \{\text{small CM modules}\} &= \{\text{flat modules}\} \\ \{\text{weak balanced big CM modules}\} &= \{\text{torsion-free modules}\}\end{aligned}$$

## Application I (regular rings)

2/3

Assume that  $R$  is a PID. In this case:

$$\begin{aligned}\varinjlim \{\text{small CM modules}\} &= \{\text{flat modules}\} \\ \{\text{weak balanced big CM modules}\} &= \{\text{torsion-free modules}\}\end{aligned}$$

Thus, the identity from Theorem B:

$$\varinjlim \{\text{small CM modules}\} = \{\text{weak balanced big CM modules}\}$$



## Application I (regular rings)

2/3

Assume that  $R$  is a PID. In this case:

$$\begin{aligned}\varinjlim \{\text{small CM modules}\} &= \{\text{flat modules}\} \\ \{\text{weak balanced big CM modules}\} &= \{\text{torsion-free modules}\}\end{aligned}$$

Thus, the identity from Theorem B:

$$\varinjlim \{\text{small CM modules}\} = \{\text{weak balanced big CM modules}\}$$

translates into:

### A classic text book theorem

Over a PID one has:

$$\{\text{flat modules}\} = \{\text{torsion-free modules}\}.$$

# Application I (regular rings)

3/3

## Corollary of Theorem B

The following conditions are equivalent:

- (i)  $R$  is regular.
- (ii)  $\{\text{flat modules}\} = \{\text{weak balanced big CM modules}\}$ .

## Application I (regular rings)

3/3

**Corollary of Theorem B**

The following conditions are equivalent:

- (i)  $R$  is regular.
- (ii)  $\{\text{flat modules}\} = \{\text{weak balanced big CM modules}\}$ .

**Proof.** (i)  $\Rightarrow$  (ii): If  $R$  is regular (of any dimension), then

$$\varinjlim \{\text{small CM modules}\} = \{\text{flat modules}\}.$$

## Application I (regular rings)

3/3

**Corollary of Theorem B**

The following conditions are equivalent:

- (i)  $R$  is regular.
- (ii)  $\{\text{flat modules}\} = \{\text{weak balanced big CM modules}\}$ .

**Proof.** (i)  $\Rightarrow$  (ii): If  $R$  is regular (of any dimension), then

$$\varinjlim \{\text{small CM modules}\} = \{\text{flat modules}\}.$$

(ii)  $\Rightarrow$  (i): By (ii) every small CM  $R$ -module is flat and hence projective (as it is finitely generated). Thus  $R$  is regular.  $\square$

## Application II (covers)

1/3

**Theorem (Auslander and Buchweitz, 1989)**

Every finitely generated  $R$ -module  $M$  has a **maximal CM approximation**, that is, there exists a short exact sequence,

$$0 \longrightarrow I \longrightarrow X \xrightarrow{\pi} M \longrightarrow 0,$$

where  $X$  is small CM and  $I$  has finite injective dimension.

## Application II (covers)

1/3

**Theorem (Auslander and Buchweitz, 1989)**

Every finitely generated  $R$ -module  $M$  has a **maximal CM approximation**, that is, there exists a short exact sequence,

$$0 \longrightarrow I \longrightarrow X \xrightarrow{\pi} M \longrightarrow 0,$$

where  $X$  is small CM and  $I$  has finite injective dimension.

$\pi$  is a **precover** of  $M$  w.r.t. the class {small CM modules}:

$$\begin{array}{ccc}
 & X' & \\
 \exists \alpha \swarrow \text{dotted} & \downarrow \forall \pi' & \\
 X & \xrightarrow{\pi} & M
 \end{array}$$

## Application II (covers)

1/3

## Theorem (Auslander and Buchweitz, 1989)

Every finitely generated  $R$ -module  $M$  has a **maximal CM approximation**, that is, there exists a short exact sequence,

$$0 \longrightarrow I \longrightarrow X \xrightarrow{\pi} M \longrightarrow 0,$$

where  $X$  is small CM and  $I$  has finite injective dimension.

$\pi$  is a **precover** of  $M$  w.r.t. the class  $\{\text{small CM modules}\}$ :

$$\begin{array}{ccc} & X' & \\ \exists \alpha \swarrow \text{dotted} & \downarrow \forall \pi' & \\ X & \xrightarrow{\pi} & M \end{array}$$

Every **finitely generated**  $R$ -module has a surjective **precover** w.r.t. the class  $\mathcal{C} = \{\text{small CM modules}\}$ .

## Application II (covers)

2/3

Combined with a result of Yoshino/Takahashi, this implies:

If  $R$  is complete, then every **finitely generated**  $R$ -module has a surjective **cover** w.r.t.  $\mathcal{C} = \{\text{small CM modules}\}$ .



## Application II (covers)

2/3

Combined with a result of Yoshino/Takahashi, this implies:

If  $R$  is complete, then every **finitely generated**  $R$ -module has a surjective **cover** w.r.t.  $\mathcal{C} = \{\text{small CM modules}\}$ .

Simon has extended this to non-finitely generated modules:

## Application II (covers)

2/3

Combined with a result of Yoshino/Takahashi, this implies:

If  $R$  is complete, then every **finitely generated**  $R$ -module has a surjective **cover** w.r.t.  $\mathcal{C} = \{\text{small CM modules}\}$ .

Simon has extended this to non-finitely generated modules:

### Theorem (Simon, 2009)

**Every complete**  $R$ -module has a surjective **cover** w.r.t.

$$\mathcal{C} = \{\text{complete big CM modules}\} \cup \{0\}.$$

## Application II (covers)

2/3

Combined with a result of Yoshino/Takahashi, this implies:

If  $R$  is complete, then every **finitely generated**  $R$ -module has a surjective **cover** w.r.t.  $\mathcal{C} = \{\text{small CM modules}\}$ .

Simon has extended this to non-finitely generated modules:

### Theorem (Simon, 2009)

**Every complete**  $R$ -module has a surjective **cover** w.r.t.

$$\mathcal{C} = \{\text{complete big CM modules}\} \cup \{0\}.$$

Here is another result in the same direction:

### Corollary of Theorem B

**Every**  $R$ -module has a surjective **cover** w.r.t.

$$\mathcal{C} = \{\text{weak balanced big CM modules}\}.$$

## Application II (covers)

3/3

### Remark.

Let  $M$  be an  $R$ -module with **weak balanced big CM cover**

$$W \twoheadrightarrow M.$$

Assume that  $\mathfrak{m}M \neq M$ .

## Application II (covers)

3/3

### Remark.

Let  $M$  be an  $R$ -module with **weak balanced big CM cover**

$$W \twoheadrightarrow M.$$

Assume that  $\mathfrak{m}M \neq M$ . We have

$$\mathfrak{m}M \neq M \implies \mathfrak{m}W \neq W \implies W \text{ is balanced big CM.}$$

## Application II (covers)

3/3

**Remark.**

Let  $M$  be an  $R$ -module with **weak balanced big CM cover**

$$W \twoheadrightarrow M.$$

Assume that  $\mathfrak{m}M \neq M$ . We have

$$\mathfrak{m}M \neq M \implies \mathfrak{m}W \neq W \implies W \text{ is balanced big CM.}$$

Every  $R$ -module  $M$  with  $\mathfrak{m}M \neq M$  has a surjective **cover** w.r.t.  
 $\mathcal{C} = \{\text{balanced big CM modules}\}.$

# Application III (CM preenvelopes)

1/4

Theorem B implies the existence of **CM preenvelopes**:

## Corollary of Theorem B

Every **finitely generated**  $R$ -module has a **CM preenvelope**, that is, a preenvelope w.r.t.  $\mathcal{C} = \{\text{small CM modules}\}$ .

# Application III (CM preenvelopes)

1/4

Theorem B implies the existence of **CM preenvelopes**:

## Corollary of Theorem B

Every **finitely generated**  $R$ -module has a **CM preenvelope**, that is, a preenvelope w.r.t.  $\mathcal{C} = \{\text{small CM modules}\}$ .

**Proof.** By [Crawley-Boevey, 1994] we must show that the class

$$\varinjlim \mathcal{C} = \varinjlim \{\text{small CM modules}\}$$

is closed under products.



# Application III (CM preenvelopes)

1/4

Theorem B implies the existence of **CM preenvelopes**:

## Corollary of Theorem B

Every **finitely generated**  $R$ -module has a **CM preenvelope**, that is, a preenvelope w.r.t.  $\mathcal{C} = \{\text{small CM modules}\}$ .

**Proof.** By [Crawley-Boevey, 1994] we must show that the class

$$\varinjlim \mathcal{C} = \varinjlim \{\text{small CM modules}\}$$

is closed under products. By Theorem B, this class is

$$\{\text{weak balanced big CM modules}\},$$

## Application III (CM preenvelopes)

1/4

Theorem B implies the existence of **CM preenvelopes**:

**Corollary of Theorem B**

Every **finitely generated**  $R$ -module has a **CM preenvelope**, that is, a preenvelope w.r.t.  $\mathcal{C} = \{\text{small CM modules}\}$ .

**Proof.** By [Crawley-Boevey, 1994] we must show that the class

$$\varinjlim \mathcal{C} = \varinjlim \{\text{small CM modules}\}$$

is closed under products. By Theorem B, this class is

$$\{\text{weak balanced big CM modules}\},$$

which is easily seen to be closed under products. □

## Application III (CM preenvelopes)

2/4

### Example

Let  $M$  be a finitely generated  $R$ -module.

## Application III (CM preenvelopes)

2/4

### Example

Let  $M$  be a finitely generated  $R$ -module. Assume that

$$M^{\dagger\dagger} = \operatorname{Hom}_R(\operatorname{Hom}_R(M, \Omega), \Omega) \quad \text{is (small) CM.}$$

## Application III (CM preenvelopes)

2/4

## Example

Let  $M$  be a finitely generated  $R$ -module. Assume that

$$M^{\dagger\dagger} = \operatorname{Hom}_R(\operatorname{Hom}_R(M, \Omega), \Omega) \quad \text{is (small) CM.}$$

In this case, the biduality map

$$M \xrightarrow{\delta_M} M^{\dagger\dagger}$$

is a **CM preenvelope**.

## Application III (CM preenvelopes)

2/4

## Example

Let  $M$  be a finitely generated  $R$ -module. Assume that

$$M^{\dagger\dagger} = \text{Hom}_R(\text{Hom}_R(M, \Omega), \Omega) \quad \text{is (small) CM.}$$

In this case, the biduality map

$$M \xrightarrow{\delta_M} M^{\dagger\dagger}$$

is a **CM preenvelope**.

**Proof.** We must be able to complete every diagram the form

$$\begin{array}{ccc} M & \xrightarrow{\delta_M} & M^{\dagger\dagger} \\ \epsilon \downarrow & \swarrow \alpha & \\ X & & \end{array}$$

## Application III (CM preenvelopes)

2/4

## Example

Let  $M$  be a finitely generated  $R$ -module. Assume that

$$M^{\dagger\dagger} = \text{Hom}_R(\text{Hom}_R(M, \Omega), \Omega) \quad \text{is (small) CM.}$$

In this case, the biduality map

$$M \xrightarrow{\delta_M} M^{\dagger\dagger}$$

is a **CM preenvelope**.

**Proof.** We must be able to complete every diagram the form

$$\begin{array}{ccc} M & \xrightarrow{\delta_M} & M^{\dagger\dagger} \\ \epsilon \downarrow & \swarrow \alpha & \\ X & & \end{array}$$

Since the diagram

$$\begin{array}{ccc} M & \xrightarrow{\delta_M} & M^{\dagger\dagger} \\ \epsilon \downarrow & & \epsilon^{\dagger\dagger} \downarrow \\ X & \xrightarrow[\cong]{\delta_X} & X^{\dagger\dagger} \end{array}$$

commutes,

## Application III (CM preenvelopes)

2/4

## Example

Let  $M$  be a finitely generated  $R$ -module. Assume that

$$M^{\dagger\dagger} = \text{Hom}_R(\text{Hom}_R(M, \Omega), \Omega) \quad \text{is (small) CM.}$$

In this case, the biduality map

$$M \xrightarrow{\delta_M} M^{\dagger\dagger}$$

is a **CM preenvelope**.

**Proof.** We must be able to complete every diagram the form

$$\begin{array}{ccc} M & \xrightarrow{\delta_M} & M^{\dagger\dagger} \\ \epsilon \downarrow & \swarrow \alpha & \\ X & & \end{array}$$

Since the diagram

$$\begin{array}{ccc} M & \xrightarrow{\delta_M} & M^{\dagger\dagger} \\ \epsilon \downarrow & & \epsilon^{\dagger\dagger} \downarrow \\ X & \xrightarrow[\cong]{\delta_X} & X^{\dagger\dagger} \end{array}$$

commutes, we can use  $\alpha = \delta_X^{-1} \epsilon^{\dagger\dagger}$ .

□



# Application III (CM preenvelopes)

3/4

## The case $M = k$

Set  $d = \dim R$  and consider  $M = k$ .

## Application III (CM preenvelopes)

3/4

**The case  $M = k$** 

Set  $d = \dim R$  and consider  $M = k$ . We have

$$k^{\dagger\dagger} \cong \begin{cases} k & \text{if } d = 0 \\ 0 & \text{if } d > 0 \end{cases} \quad \text{is CM.}$$

## Application III (CM preenvelopes)

3/4

**The case  $M = k$** 

Set  $d = \dim R$  and consider  $M = k$ . We have

$$k^{\dagger\dagger} \cong \begin{cases} k & \text{if } d = 0 \\ 0 & \text{if } d > 0 \end{cases} \quad \text{is CM.}$$

Thus a **CM preenvelope** of  $k$  is:

$$\begin{cases} k \xrightarrow{=} k & \text{if } d = 0 \\ k \rightarrow 0 & \text{if } d > 0 \end{cases} \quad (\text{not injective})$$

# Application III (CM preenvelopes)

4/4

## The case $M = \mathfrak{m}$

Set  $d = \dim R$  and consider  $M = \mathfrak{m}$ .

## Application III (CM preenvelopes)

4/4

**The case  $M = \mathfrak{m}$** 

Set  $d = \dim R$  and consider  $M = \mathfrak{m}$ . We have

$$\mathfrak{m}^{\dagger\dagger} \cong \begin{cases} \mathfrak{m} & \text{if } d = 0, 1 \\ R & \text{if } d > 1 \end{cases} \quad \text{is CM.}$$

## Application III (CM preenvelopes)

4/4

The case  $M = \mathfrak{m}$ 

Set  $d = \dim R$  and consider  $M = \mathfrak{m}$ . We have

$$\mathfrak{m}^{\dagger\dagger} \cong \begin{cases} \mathfrak{m} & \text{if } d = 0, 1 \\ R & \text{if } d > 1 \end{cases} \quad \text{is CM.}$$

Thus a **CM preenvelope** of  $\mathfrak{m}$  is:

$$\begin{cases} \mathfrak{m} \xrightarrow{=} \mathfrak{m} & \text{if } d = 0, 1 \\ \mathfrak{m} \hookrightarrow R & \text{if } d > 1 \end{cases}$$

# More about CM preenvelopes

1/3

A well-known fact:

## High syzygies are CM

Set  $d = \dim R$  and let  $M$  be a finitely generated  $R$ -module. If

$$\cdots \rightarrow X_1 \rightarrow X_0 \rightarrow M \rightarrow 0$$

is an exact sequence where each  $X_i$  is CM (e.g. free), then

$$K_n = \text{Ker}(X_{n-1} \rightarrow X_{n-2}) \text{ is CM for all } n \geq d.$$

## More about CM preenvelopes

1/3

A well-known fact:

### High syzygies are CM

Set  $d = \dim R$  and let  $M$  be a finitely generated  $R$ -module. If

$$\cdots \rightarrow X_1 \rightarrow X_0 \rightarrow M \rightarrow 0$$

is an exact sequence where each  $X_i$  is CM (e.g. free), then

$$K_n = \text{Ker}(X_{n-1} \rightarrow X_{n-2}) \text{ is CM for all } n \geq d.$$

There is a “dual” of this result:



# More about CM preenvelopes

1/3

A well-known fact:

## High syzygies are CM

Set  $d = \dim R$  and let  $M$  be a finitely generated  $R$ -module. If

$$\cdots \rightarrow X_1 \rightarrow X_0 \rightarrow M \rightarrow 0$$

is an exact sequence where each  $X_i$  is CM (e.g. free), then

$$K_n = \text{Ker}(X_{n-1} \rightarrow X_{n-2}) \text{ is CM for all } n \geq d.$$

There is a “dual” of this result:

Of course, one can **not** always construct an **exact** sequence

$$0 \rightarrow M \rightarrow X^0 \rightarrow X^1 \rightarrow \cdots \quad \text{where } X_i \text{ is CM.}$$

But there is a canonical way to construct such a **complex**:

## More about CM preenvelopes

2/3

- Take an CM preenvelope  $M \xrightarrow{\mu^0} X^0 \rightarrow C^1 \rightarrow 0$ .

## More about CM preenvelopes

2/3

- Take an CM preenvelope  $M \xrightarrow{\mu^0} X^0 \rightarrow C^1 \rightarrow 0$ .
- Take an CM preenvelope  $C^1 \xrightarrow{\mu^1} X^1 \rightarrow C^2 \rightarrow 0$ .

## More about CM preenvelopes

2/3

- Take an CM preenvelope  $M \xrightarrow{\mu^0} X^0 \rightarrow C^1 \rightarrow 0$ .
- Take an CM preenvelope  $C^1 \xrightarrow{\mu^1} X^1 \rightarrow C^2 \rightarrow 0$ .
- ...

## More about CM preenvelopes

2/3

- Take an CM preenvelope  $M \xrightarrow{\mu^0} X^0 \rightarrow C^1 \rightarrow 0$ .
- Take an CM preenvelope  $C^1 \xrightarrow{\mu^1} X^1 \rightarrow C^2 \rightarrow 0$ .
- ...

This gives a (non-exact) complex:

$$0 \rightarrow M \rightarrow X^0 \rightarrow X^1 \rightarrow \dots$$

## More about CM preenvelopes

2/3

- Take an CM preenvelope  $M \xrightarrow{\mu^0} X^0 \rightarrow C^1 \rightarrow 0$ .
- Take an CM preenvelope  $C^1 \xrightarrow{\mu^1} X^1 \rightarrow C^2 \rightarrow 0$ .
- ...

This gives a (non-exact) complex:

$$0 \rightarrow M \rightarrow X^0 \rightarrow X^1 \rightarrow \dots$$

### Theorem (not related to Theorem B)

The modules  $C^d, C^{d+1}, C^{d+2}, \dots$  are all CM.

## More about CM preenvelopes

3/3

A CM preenvelope  $\mu: M \rightarrow X$  has **the unique lifting property** if

$$\begin{array}{ccc}
 M & \xrightarrow{\mu} & X \\
 \downarrow \forall \mu' & \nearrow \exists! \alpha & \\
 X' & & 
 \end{array}
 \quad (\text{unique})$$

(In this case,  $\mu$  is a CM envelope.)

## More about CM preenvelopes

3/3

A CM preenvelope  $\mu: M \rightarrow X$  has **the unique lifting property** if

$$\begin{array}{ccc}
 M & \xrightarrow{\mu} & X \\
 \downarrow \forall \mu' & \nearrow \exists! \alpha & \\
 X' & & 
 \end{array}
 \quad (\text{unique})$$

(In this case,  $\mu$  is a CM envelope.)

### Theorem (not related to Theorem B)

The following conditions are equivalent.



## More about CM preenvelopes

3/3

A CM preenvelope  $\mu: M \rightarrow X$  has **the unique lifting property** if

$$\begin{array}{ccc}
 M & \xrightarrow{\mu} & X \\
 \downarrow \forall \mu' & \nearrow \exists! \alpha & \\
 X' & & 
 \end{array}
 \quad (\text{unique})$$

(In this case,  $\mu$  is a CM envelope.)

### Theorem (not related to Theorem B)

The following conditions are equivalent.

- (i) Every finitely generated  $R$ -module has an CM envelope with the unique lifting property.

## More about CM preenvelopes

3/3

A CM preenvelope  $\mu: M \rightarrow X$  has **the unique lifting property** if

$$\begin{array}{ccc}
 M & \xrightarrow{\mu} & X \\
 \downarrow \forall \mu' & \nearrow \exists! \alpha & \\
 X' & & 
 \end{array}
 \quad (\text{unique})$$

(In this case,  $\mu$  is a CM envelope.)

### Theorem (not related to Theorem B)

The following conditions are equivalent.

- (i) Every finitely generated  $R$ -module has an CM envelope with the unique lifting property.
- (ii)  $\text{Hom}(M, \Omega)$  is CM for every finitely generated  $R$ -module  $M$ .

## More about CM preenvelopes

3/3

A CM preenvelope  $\mu: M \rightarrow X$  has **the unique lifting property** if

$$\begin{array}{ccc}
 M & \xrightarrow{\mu} & X \\
 \downarrow \forall \mu' & \nearrow \exists! \alpha & \\
 X' & & 
 \end{array}
 \quad (\text{unique})$$

(In this case,  $\mu$  is a CM envelope.)

### Theorem (not related to Theorem B)

The following conditions are equivalent.

- (i) Every finitely generated  $R$ -module has an CM envelope with the unique lifting property.
- (ii)  $\text{Hom}(M, \Omega)$  is CM for every finitely generated  $R$ -module  $M$ .
- (iii) The functor  $\{\text{small CM}\} \hookrightarrow \text{mod } R$  has a left adjoint.

## More about CM preenvelopes

3/3

A CM preenvelope  $\mu: M \rightarrow X$  has **the unique lifting property** if

$$\begin{array}{ccc}
 M & \xrightarrow{\mu} & X \\
 \downarrow \forall \mu' & \nearrow \exists! \alpha & \\
 X' & & 
 \end{array}
 \quad (\text{unique})$$

(In this case,  $\mu$  is a CM envelope.)

### Theorem (not related to Theorem B)

The following conditions are equivalent.

- (i) Every finitely generated  $R$ -module has an CM envelope with the unique lifting property.
- (ii)  $\text{Hom}(M, \Omega)$  is CM for every finitely generated  $R$ -module  $M$ .
- (iii) The functor  $\{\text{small CM}\} \hookrightarrow \text{mod } R$  has a left adjoint.
- (iv)  $\dim R \leq 2$ .

# On the proof of Theorem B

1/2

## Theorem B

For every  $R$ -module  $M$ , the following conditions are equivalent:

- (i)  $M$  is a direct limit of small CM  $R$ -modules.
- (ii) Every s.o.p. for  $R$  is a weak  $M$ -regular sequence.
- (iii)  $M$  is Gorenstein flat viewed as a module over  $R \times \Omega$ .

# On the proof of Theorem B

1/2

## Theorem B

For every  $R$ -module  $M$ , the following conditions are equivalent:

- (i)  $M$  is a direct limit of small CM  $R$ -modules.
- (ii) Every s.o.p. for  $R$  is a weak  $M$ -regular sequence.
- (iii)  $M$  is Gorenstein flat viewed as a module over  $R \times \Omega$ .

(i)  $\Rightarrow$  (ii): Easy.

# On the proof of Theorem B

1/2

## Theorem B

For every  $R$ -module  $M$ , the following conditions are equivalent:

- (i)  $M$  is a direct limit of small CM  $R$ -modules.
- (ii) Every s.o.p. for  $R$  is a weak  $M$ -regular sequence.
- (iii)  $M$  is Gorenstein flat viewed as a module over  $R \times \Omega$ .

(i)  $\Rightarrow$  (ii): Easy.

(iii)  $\Rightarrow$  (i): Follows from work of Enochs and Jenda.

# On the proof of Theorem B

2/2

## Theorem B

For every  $R$ -module  $M$ , the following conditions are equivalent:

- (i)  $M$  is a direct limit of small CM  $R$ -modules.
- (ii) Every s.o.p. for  $R$  is a weak  $M$ -regular sequence.
- (iii)  $M$  is Gorenstein flat viewed as a module over  $R \times \Omega$ .

(ii)  $\Rightarrow$  (iii):



# On the proof of Theorem B

2/2

## Theorem B

For every  $R$ -module  $M$ , the following conditions are equivalent:

- (i)  $M$  is a direct limit of small CM  $R$ -modules.
- (ii) Every s.o.p. for  $R$  is a weak  $M$ -regular sequence.
- (iii)  $M$  is Gorenstein flat viewed as a module over  $R \times \Omega$ .

(ii)  $\Rightarrow$  (iii):

- As  $T = R \times \Omega$  is Gorenstein, one has

$$\text{Gfd}_T M = \sup \{ \text{depth}_{T_{\mathfrak{q}}} T_{\mathfrak{q}} - \text{depth}_{T_{\mathfrak{q}}} M_{\mathfrak{q}} \mid \mathfrak{q} \in \text{Spec } T \} < \infty.$$

# On the proof of Theorem B

2/2

## Theorem B

For every  $R$ -module  $M$ , the following conditions are equivalent:

- (i)  $M$  is a direct limit of small CM  $R$ -modules.
- (ii) Every s.o.p. for  $R$  is a weak  $M$ -regular sequence.
- (iii)  $M$  is Gorenstein flat viewed as a module over  $R \times \Omega$ .

(ii)  $\Rightarrow$  (iii):

- As  $T = R \times \Omega$  is Gorenstein, one has

$$\text{Gfd}_T M = \sup \{ \text{depth}_{T_q} - \text{depth}_{T_q} M_q \mid q \in \text{Spec } T \} < \infty.$$

- Every prime  $q \subset T$  has the form  $q = \mathfrak{p} \times \Omega$  for a prime  $\mathfrak{p} \subset R$ .

# On the proof of Theorem B

2/2

## Theorem B

For every  $R$ -module  $M$ , the following conditions are equivalent:

- (i)  $M$  is a direct limit of small CM  $R$ -modules.
- (ii) Every s.o.p. for  $R$  is a weak  $M$ -regular sequence.
- (iii)  $M$  is Gorenstein flat viewed as a module over  $R \times \Omega$ .

(ii)  $\Rightarrow$  (iii):

- As  $T = R \times \Omega$  is Gorenstein, one has

$$\text{Gfd}_T M = \sup \{ \text{depth } T_q - \text{depth}_{T_q} M_q \mid q \in \text{Spec } T \} < \infty.$$

- Every prime  $q \subset T$  has the form  $q = \mathfrak{p} \times \Omega$  for a prime  $\mathfrak{p} \subset R$ .
- Now one shows that (ii) implies that

$$\text{depth } T_{\mathfrak{p} \times \Omega} - \text{depth}_{T_{\mathfrak{p} \times \Omega}} M_{\mathfrak{p} \times \Omega} \leq 0$$

for every prime  $\mathfrak{p} \subset R$ .

## On the proof of Theorem B

2/2

**Theorem B**

For every  $R$ -module  $M$ , the following conditions are equivalent:

- (i)  $M$  is a direct limit of small CM  $R$ -modules.
- (ii) Every s.o.p. for  $R$  is a weak  $M$ -regular sequence.
- (iii)  $M$  is Gorenstein flat viewed as a module over  $R \times \Omega$ .

(ii)  $\Rightarrow$  (iii):

- As  $T = R \times \Omega$  is Gorenstein, one has

$$\text{Gfd}_T M = \sup \{ \text{depth } T_q - \text{depth}_{T_q} M_q \mid q \in \text{Spec } T \} < \infty.$$

- Every prime  $q \subset T$  has the form  $q = \mathfrak{p} \times \Omega$  for a prime  $\mathfrak{p} \subset R$ .
- Now one shows that (ii) implies that

$$\text{depth } T_{\mathfrak{p} \times \Omega} - \text{depth}_{T_{\mathfrak{p} \times \Omega}} M_{\mathfrak{p} \times \Omega} \leq 0$$

for every prime  $\mathfrak{p} \subset R$ . Hence  $\text{Gfd}_{R \times \Omega} M \leq 0$ . □

*Thanks for your attention!*