# Min-max methods in Geometry

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# Outline

- 1 Min-max theory overview
- 2 Applications in Geometry
- 3 Some new progress

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- $L([\Phi]) = \inf_{\Psi \in [\Phi]} \max_{x \in X^k} F(\Psi(x));$
- L([Φ]) = F(z<sub>0</sub>) for some critical point z<sub>0</sub> ∈ Z of Morse index at most k.

 $(M^n,g)$  closed compact Riemannian *n*-manifold,  $3 \le n \le 7$ . The space

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#### Almgren–Pitts Min-max Theorem

If the map  $\Phi: X^k \to \mathcal{Z}_{n-1}(M)$  is nontrivial then there is an embedded minimal hypersurface  $\Sigma$  (with multiplicities) so that

$$\mathsf{L}([\Phi]) = \mathit{vol}(\Sigma).$$

Conjecture:  $\Sigma$  has index less or equal than *k*.

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- $f: M \rightarrow [0, 1]$  Morse function;
- $\Phi_1: [0,1] \to \mathcal{Z}_{n-1}(M), \quad \Phi_1(t) = f^{-1}(t).$



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#### Application 2 (Pitts '81, Schoen-Simon '81)

Every  $(M^n, g)$  admits an embedded minimal hypersurface.

# The multi-parameter sweepout

(Almgren, 60's)  $\mathcal{Z}_{n-1}(M; \mathbb{Z}_2)$  is weakly homotopic to  $\mathbb{RP}^{\infty}$ .

Thus, for every  $k \in \mathbb{N}$ , there is  $\Phi_k : \mathbb{RP}^k \to \mathcal{Z}_{n-1}(M; \mathbb{Z}_2)$  which is homotopically non-trivial.

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• The k-width of M is

$$\omega_k(M) := L([\Phi_k]) = \inf_{\{\Phi \in [\Phi_k]\}} \sup_{x \in \mathbb{RP}^k} vol(\Phi(x)), \quad k \in \mathbb{N}.$$

Compare with *k*-th eigenvalue

$$\lambda_k(M) = \inf_{\{(k+1) \text{ plane } P \subset W^{1,2}\}} \sup_{f \in P - \{0\}} \frac{\int_M |\nabla f|^2}{\int_M f^2}.$$

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Theorem (Gromov, 70's, Guth, 07')  $\omega_k(M)$  grows like  $k^{1/n}$  as k tends to infinity.

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• (Khan–Markovic, '11) Compact hyperbolic 3-manifolds have an infinite number of minimal immersed surfaces.

# Application (Marques-N., 2013)

Assume  $(M^n, g)$  compact manifold with positive Ricci curvature. Then M admits an infinite number of distinct embedded minimal hypersurfaces.

 These minimal hypersurfaces are obtained by finding minimal hypersurface Σ<sub>k</sub> such that ω<sub>k</sub>(M) = vol(Σ<sub>k</sub>).

The key issue is to show that they are geometrically distinct because  $k\Sigma$  is also a critical point for volume functional for all  $k \in \mathbb{N}$ .

# Canonical family for surfaces in $\mathcal{Z}_2(S^3)$

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- Given Σ embedded surface in S<sup>3</sup> consider

 $\mathcal{C}: B^4 \times [-\pi,\pi] \to \mathcal{Z}_2(S^3), \quad \mathcal{C}(v,t) = \text{"surface" at distance } t \text{ from } F_v(\Sigma).$ 



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 C maps the boundary of B<sup>4</sup> × [−π, π] into R = {round spheres in S<sup>3</sup>}. Thus [C] lies in Π<sub>5</sub>(Z<sub>2</sub>(S<sup>3</sup>), R).

#### Theorem (Marques-N., '12)

If  $\Sigma$  has **positive genus** then C cannot be deformed into the space of all round spheres, i.e.,  $[C] \neq 0$  in  $\Pi_5(\mathcal{Z}_2(S^3), \mathcal{R})$ .

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Pick the one that minimizes the bending energy (known as Willmore energy)

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(Willmore, '65) Every compact surface  $\Sigma$  has  $W(\Sigma) \ge 4\pi$  with equality only for round spheres.



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#### Application 1 (Marques-N., '12)

The Willmore conjecture holds.

Observed in Biophysics by Bensimon and Mutz in 1992.





(Urbano, '90) Minimal surfaces in  $S^3$  with Morse index  $\leq 5$  are either equators (index 1 and area  $4\pi$ ) or Clifford tori (index 5 and area  $2\pi^2$ ).



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- C is such that  $\sup_x area(C(x)) \le W(\Sigma) =$ Willmore energy of  $\Sigma$  and thus

$$2\pi^2 \leq \sup_x area(\mathcal{C}(x)) \leq W(\Sigma)$$

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To every link in space  $(\gamma_1, \gamma_2)$ , one can associate a Möbius cross energy  $E(\gamma_1, \gamma_2)$  that is also conformally invariant.

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#### Application 2 (Agol–Marques–N., '12)

The conjecture holds.

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#### Theorem A (Marques-N.)

Assume that every embedded hypersurfaces in M is orientable.

Let  $\Phi : S^1 \to \mathcal{Z}_{n-1}(M)$  be a sweepout. For a generic set of metrics, there is minimal embedded hypersurface  $\Sigma$  such that

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#### Theorem B (Marques-N.)

Let  $\Phi: X^k \to \mathcal{Z}_{n-1}(M)$  be nontrivial. There is a minimal embedded hypersurface  $\Sigma$  such that

 $L([\Phi]) = vol(\Sigma)$  and index (support  $\Sigma) \le k$ .

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We expect  $\Sigma_k$  to become evenly distributed and to have first Betti number proportional to *k*.