

# Perturbative BV-BFV theories on manifolds with boundary

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# Outline

- 1 Introduction
- 2 Lagrangian field theory I: Overview
- 3 Lagrangian field theory II
- 4 Cohomological description of non regular theories
  - The BV formalism
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## Introduction

- Lift Atiyah–Segal’s axioms to the perturbative QFTs
  - boundaries  $\rightsquigarrow$  vector spaces
  - manifolds (with boundaries)  $\rightsquigarrow$  states/operators
- Do it for general Lagrangian theories (including gauge theories)
- First understand classical picture
- then the perturbative quantum BV picture:

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## Lagrangian Mechanics

- In Lagrangian mechanics  $S = \int_{t_0}^{t_1} L dt$  as a functional on the path space  $N[t_0, t_1]$ .
- Usual example:  $L = \frac{1}{2}m||v||^2 - V(q)$ .
- Newton's equation are recovered as Euler–Lagrange equations (EL), i.e., critical points:  $\delta S = 0$ .
- A solution is uniquely specified by its initial conditions. Set  $C := TN$ , the space of **Cauchy data**.
- For this, one sets conditions at  $t_0$  and  $t_1$  (usually by fixing the path endpoints). Otherwise

$$\delta S = EL + \alpha|_{t_0}^{t_1}$$

$$\alpha = \sum_i \frac{\partial L}{\partial v^i} dq^i \in \Omega^1(C) \quad \text{Noether's one-form}$$

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Here EL denotes the term containing the EL equations. By  $EL$  we will denote the space of solutions to EL.

## Symplectic formulation

$\omega := d\alpha$  is symplectic iff  $L$  is regular. In this case:

- $\omega$  is the pullback on  $C = TN$  of the canonical symplectic form on  $T^*N$  by the Legendre mapping.
- Time evolution is given by a Hamiltonian flow  $\phi$ . In particular,

$$L := \text{graph } \phi_{t_0}^{t_1} \in \overline{TN} \times TN$$

is Lagrangian (**canonical relation**).

### Remark

$L$  may also be defined directly as  $L = \pi(EL)$  with

$$\begin{aligned} \pi: N^{[t_0, t_1]} &\rightarrow TN \times TN \\ \{x(t)\} &\mapsto ((x(t_0), \dot{x}(t_0)), (x(t_1), \dot{x}(t_1))) \end{aligned}$$

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## Example1: Geodesics

We discuss geodesics on  $\mathbb{E}^2$  (Minkowski would be more realistic).

$$L = ||\mathbf{v}||$$

$S$  is defined on  $\mathcal{F} := N_0^{[t_0, t_1]} := \{\text{immersed paths}\}$ .

- $EL =$  straight lines

- Initial data:

$$\mathcal{F}|_{((t_0))} = \mathbb{R}^2 \times \mathbb{R}_*^2 \times \mathbb{R}^\infty = \mathbb{R}^2 \times \mathcal{S}^1 \times \mathbb{R}_{>0} \times \mathbb{R}^\infty \ni (\mathbf{q}, \mathbf{v}, \rho, \mathbf{q}_2, \mathbf{q}_3, \dots).$$

- $\alpha = \mathbf{v} \cdot d\mathbf{q}$

- $\omega$  degenerate

- $\tilde{L} := \pi(EL) = \{(\mathbf{q}_1, \mathbf{v}, \rho_1, \dots), (\mathbf{q}_2, \mathbf{v}, \rho_2, \dots)\} : \mathbf{q}_1 - \mathbf{q}_2 \parallel \mathbf{v}\}$

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## Geodesics (continued)

However:

- $\omega|_{\tilde{L}} = 0$ , so  $\tilde{L}$  is isotropic (actually Lagrangian).
- $\ker \omega = \text{span} \left( \mathbf{v} \cdot \frac{\partial}{\partial \mathbf{q}}, \frac{\partial}{\partial \rho}, \frac{\partial}{\partial \mathbf{q}_2}, \dots \right) =$   
directions parallel to  $\mathbf{v}$ , rescalings of velocity, higher jets;  
so

$$\varpi: \mathcal{F}|_{((t_0))} \rightarrow \mathcal{F}^\partial := \mathcal{F}|_{((t_0))} / \ker \omega = TS^1$$

with canonical symplectic form (identify  $T$  and  $T^*$  using the metric).

- $L := \varpi(\tilde{L}) = \text{graph Id}$ , so a graph and Lagrangian.
- Actually, no time evolution after reduction (an example of topological theory).
- With target  $\mathbb{R}^{n+1}$  and Minkowski metric, one gets  $\mathcal{F}^\partial = T\mathcal{H}^n$ , with  $\mathcal{H}^n$  the  $n$ -dimensional hyperboloid with induced hyperbolic metric.

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## General case

Following ideas by Tulczjew, Gawedzki, Schwarz, Fock,...

- Let  $S_M = \int_M L$  be a class of **local** actions determined by a Lagrangian  $L$ . Here  $M$  is a  $d$ -manifold.
- $S_M$  is defined on a **space of fields**  $F_M$  (e.g., maps from  $M$  to another manifold, connections on  $M$ , sections of a fiber bundle, ...)

To a  $(d - 1)$ -manifold  $\Sigma$  we associate the space  $\tilde{F}_\Sigma$  of jets of fields at  $\Sigma \times \{0\}$  on  $\Sigma \times [0, \epsilon]$  ("normal derivatives").

The boundary term in the variational calculus defines a one-form  $\tilde{\alpha}_\Sigma$  on  $\tilde{F}_\Sigma$ , for every  $\Sigma$ , with the property

$$\delta S_M = \text{EL}_M + \tilde{\pi}_M^* \tilde{\alpha}_{\partial M}$$

with  $\tilde{\pi}_M: F_M \rightarrow \tilde{F}_{\partial M}$  the natural surjective submersion and  $\text{EL}_M$  the "EL one-form."

Define  $\tilde{\omega}_\Sigma := d\tilde{\alpha}_\Sigma$ .

### Assumption

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## Boundary structure

- Denote by  $(F_\Sigma^\partial, \omega_\Sigma^\partial)$  the reduction of  $\tilde{F}_\Sigma$  by the kernel of  $\tilde{\omega}_\Sigma$ .
- For simplicity, we assume that  $\tilde{\alpha}_\Sigma$  also descends to a one-form  $\alpha_\Sigma$  on  $F_\Sigma^\partial$ .

Then

- 1  $\omega_\Sigma = d\alpha_\Sigma$ .
- 2 For every  $M$ , we get a projection  $\pi_M: F_M \rightarrow F_{\partial M}^\partial$  and the equation

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## Boundary structure (continued)

### Assumption

We assume that  $L_M$  is Lagrangian for every  $M$ .

### Remark

This is a requirement for a well-defined theory. It requires, e.g., that YM, CS and *BF* theories should be defined in terms of Lie algebras or the PSM in terms of a Poisson tensor (not just any bivector field).

### Definition

For every  $\Sigma$  we define  $C_\Sigma$  as the space of points of  $F_\Sigma^\partial$  that can be completed to a pair belonging to  $L_{\Sigma \times [0, \epsilon]}$  for some  $\epsilon$ .

By the assumption,  $C_\Sigma$  is coisotropic. It represents the space of Cauchy data. Its reduction is called the reduced phase space. Its symplectic reduction is usually singular!



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## Boundary structure: composition

### Remark (Composition)

If  $M = M_1 \cup_{\Sigma} M_2$ , where  $\Sigma$  is (part of) the boundary of  $M_1$  and of  $M_2$ ,

$$L_M = L_{M_1} \circ L_{M_2} \subset F_{(\partial M_1 \setminus \Sigma) \amalg (\partial M_2 \setminus \Sigma)}^{\partial},$$

where  $\circ$  denotes the composition of relations.

### Definition

We call  $L_M$  the **evolution relation**. (More precisely, we split  $\partial M = \partial_{\text{in}} M \amalg \partial_{\text{out}} M$  and regard  $L_M$  as a relation in  $F_{(\partial_{\text{in}} M)^{\text{opp}} \times \partial_{\text{out}} M}^{\partial}$ .)

### Remark (EL)

By definition the fiber of  $EL_M$  over  $L_M$  is just one point if  $M$  is a short cylinder, but in general it may be much bigger.

So it makes sense to remember it and think of  $EL_M \rightarrow F_{\partial M}^{\partial}$  as a correspondence, the **evolution correspondence**.

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## Axiomatics

We may then think of a classical Lagrangian field theory in  $d$  dimensions as the following data:

- A space of field  $F_M$  for every  $d$ -manifold  $M$
- A symplectic space  $F_\Sigma^\partial$  for every  $(d - 1)$ -manifold  $\Sigma$
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## Quantization of regular Lagrangian field theories

- In a regular theory,  $\underline{C}_\Sigma = C_\Sigma = F_\Sigma^\partial$  is symplectic; geometric quantization: vector space  $H_\Sigma$ .
- For simplicity, assume that the symplectic manifold  $C_{\partial M}$  is endowed with a Lagrangian foliation along which  $\alpha_{\partial M}$  vanishes and with a smooth leaf space  $B_{\partial M}$ . (One may change  $\alpha_{\partial M}$  to this goal.) Then  $H_{\partial M}$  is a space of functions on  $B_{\partial M}$ . Denote by  $p_{\partial M}$  the projection  $C_{\partial M} \rightarrow B_{\partial M}$ .
- The canonical relation  $L_M \subset C_{\partial M}$  is quantized to a state  $\psi_M \in H_{\partial M}$ . Asymptotically,

$$\psi_M(\varphi) = \int_{\Phi \in \pi_M^{-1}(p_{\partial M}^{-1}(\varphi))} e^{\frac{i}{\hbar} S_M(\Phi)} [D\Phi], \quad \varphi \in B_{\partial M}$$

- If  $\partial M = \partial_{\text{in}} M \amalg \partial_{\text{out}} M$ , then  $\psi_M \in H_{\partial_{\text{in}} M}^* \otimes H_{\partial_{\text{out}} M}$ . Hence, operator  $H_{\partial_{\text{in}} M} \rightarrow H_{\partial_{\text{out}} M}$ . Composition of relations goes to composition of operators.
- Cfr. Segal's axiomatization of CFT and Atiyah's axiomatization of TFT.

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## The local, finite-dimensional BV formalism

The **Batalin–Vilkovisky (BV)** formalism is used to gauge fix gauge theories and check gauge-fixing independence.

We start with a local, finite-dimensional version.

- Consider super coordinates  $q^i, p_i$  and the symplectic form  $\omega = \sum_i dp_i dq^i$ .
- Functions are ordinary smooth functions of the even coordinates tensor the Grassmann algebra generated by the odd coordinates. Here  $p_i$  has parity opposite to  $q^i$ .
- The **BV Laplacian** is defined as

$$\Delta = \sum_i (-1)^{|q_i|} \frac{\partial^2}{\partial q^i \partial p_i}.$$

Equivalently,  $\Delta f = -\frac{1}{2} \operatorname{div} X_f$ .

### Lemma

$$\Delta^2 = 0, \quad \Delta(fg) = \Delta f g \pm f \Delta g \pm (f, g).$$

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Let  $f$  be a function of the  $p, q$ s and  $\psi$  a function of the  $q$ s only. One defines the **BV integral**

$$\int_{\mathcal{L}_\psi} f := \int f(q, p_i = \partial_i \psi) dq^1 \dots dq^n$$

to be intended as the integral of  $f$  on the Lagrangian submanifold

$$\mathcal{L}_\psi = \text{graph } d\psi.$$

### Remark

$dq^1 \dots dq^n$  denotes Berezinian integration:

In the even coordinates it is the standard integration; in the odd coordinates it is just the selection of the top coefficient in the Grassmann algebra (with a choice of orientation).

### Lemma

*Assume that integrals converge. Then:*

- *If  $f = \Delta g$ , then  $\int_{\mathcal{L}_\psi} f = 0$ .*
- *If  $\Delta f = 0$ , then  $\int_{\mathcal{L}_\psi} f$  is invariant under deformations of  $\psi$ .*

## The main application

- Suppose  $\int_{\mathcal{L}_0} f$  is ill defined but  $\Delta f = 0$ . Then we can replace the ill-defined integral by a well-defined one  $\int_{\mathcal{L}_\psi} f$  and the above Lemma says that it does not matter which  $\psi$  we choose (as long as the integral converges). This procedure is called **gauge fixing**.
- In view of applications to path integrals, we write  $f = e^{\frac{i}{\hbar} S}$ . Then  $\Delta f = 0$  corresponds to the **Quantum Master Equation (QME)**

$$\frac{1}{2}(S, S) - i\hbar\Delta S = 0$$

The central idea is to allow  $S$  to depend on the parameter  $\hbar$  and solve the QME order by order (if possible). The lowest order term is the **Classical Master Equation (CME)**

$$(S, S) = 0$$

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## Further remarks

- It is convenient to introduce a  $\mathbb{Z}$ -grading. One assigns degrees so that  $\omega$  has degree  $-1$ , so the Hamiltonian vector field  $Q$  of a degree 0 function  $S$  has degree  $+1$ . The CME for  $S$  is equivalent to  $[Q, Q] = 0$ . One says that  $Q$  is a **cohomological vector field**.
- One may generalize the BV integral to a partial integration. Assume a splitting of coordinates  $(p, q) = (p', p'', q', q'')$  with  $\omega = \omega' + \omega''$  and  $\Delta = \Delta' + \Delta''$ . If  $f$  is a function of all coordinates and  $\psi$  a function of the  $q''$ 's, one defines the **BV pushforward**

$$\int_{\mathcal{L}_\psi} f := \int f|_{p'_i = \partial_i \psi} dq''$$

One can then prove that

$$\Delta' \int_{\mathcal{L}_\psi} f = \int_{\mathcal{L}_\psi} \Delta f$$

and that, if  $\Delta f = 0$ ,

$$\frac{d}{dt} \int_{\mathcal{L}_\psi(t)} f = \Delta'(\dots)$$

- There is a global description of the BV formalism due to A. Schwarz formulated on **odd symplectic manifolds**. The BV Laplacian is canonically defined on **half densities**, which in turn can be integrated on **Lagrangian submanifolds**. BV integration of  $\Delta$ -closed half densities turns out to be invariant under deformations of Lagrangian submanifolds (and under some further transformations). The BV pushforward may be defined on appropriate fiber bundles. **One usually prefers to choose a reference half density and to work with functions again.**
- The **BV action**  $S$  satisfying the CME arises in field theory as follows. One starts with an **action functional**  $S_0$ , defined on a space of fields, and its **symmetries**. One then look for an odd symplectic manifold that contains the space of fields and for an extension of  $S_0$  that satisfies the CME and whose Hamiltonian vector field "restricted" to the original space of fields yields the symmetries. Under certain weak assumptions existence and uniqueness (up to . . .) is guaranteed.

## BV theories

Let us go back to field theory.

- We start with a field theory on a space of fields  $F_M$  and an action  $S^0$ , plus symmetries.
- If  $M$  has no boundary, the BV construction yields a BV manifold  $(\mathcal{F}_M, \omega_M, S_M)$ , where
  - 1  $\mathcal{F}_M$  is a supermanifold with additional  $\mathbb{Z}$ -grading (containing the original  $F_M$  as its degree zero component).
  - 2  $\omega_M$  is an odd symplectic form of degree  $-1$  on  $\mathcal{F}_M$ .
  - 3  $S_M$  is an even function of degree zero on  $\mathcal{F}_M$  which extends the classical action and satisfies the CME

$$(S_M, S_M) = 0.$$

One defines  $Q_M$  as the Hamiltonian vector field of  $S_M$

$$\iota_{Q_M} \omega_M = dS_M$$

$Q_M$  has degree one and  $[Q_M, Q_M] = 0$  (cohomological vector field).

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## The case with boundary

- The equation

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no longer holds if  $M$  has boundary. We have to deal with the boundary terms in computing  $dS_M$  as in the first part of this talk.

- Define the space  $\tilde{\mathcal{F}}_\Sigma$  of preboundary fields on a  $(d-1)$ -manifold  $\Sigma$  as the jets at  $\Sigma \times \{0\}$  of  $\mathcal{F}_{\Sigma \times [0, \epsilon]}$ . Integration by parts in the computation of  $dS_{\Sigma \times [0, \epsilon]}$  yields a one-form  $\tilde{\alpha}_\Sigma$  of degree zero on  $\tilde{\mathcal{F}}_\Sigma$ . We denote by  $\tilde{\omega}_\Sigma$  its differential.

### Assumption

We assume that  $\tilde{\omega}_\Sigma$  is presymplectic.

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One can then prove that

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One then says that the triple  $(\mathcal{F}_{\partial M}^\partial, \omega_{\partial M}^\partial, Q_{\partial M}^\partial)$  is a **BFV manifold**. Notice that the degree of  $\omega_{\partial M}^\partial$  is now zero. The zero locus of  $Q_{\partial M}^\partial$  is coisotropic. Its degree zero component  $C_{\partial M}$  is also coisotropic. If its reduction is smooth, its Poisson algebra of functions is the same as the cohomology of  $Q_{\partial M}^\partial$  in degree zero. **The BFV construction has to be thought of as a resolution of this quotient.**

- 2 We have the fundamental equation of the BV theory for manifolds with boundary [C, Mnëv, Reshetikhin]:

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## Example: Electromagnetism

- Maxwell's equations:  $d^*dA = 0$ ,  $A$  connection 1-form.
- First-order formalism:  $S_M^{\text{cl}} = \int_M B dA + \frac{1}{2} B * B$   
 $B$  a  $(d-2)$ -form. Then  $EL = \{ *B = dA, dB = 0 \}$ .
- BV:  $S_M = \int_M B dA + \frac{1}{2} B * B + A^+ dc$   
 $A^+$ :  $(d-1)$ -form, ghost number  $-1$ ;  $c$ : 0-form, ghost number 1.  
 $\omega_M = \int_M \delta A \delta A^+ + \delta B \delta B^+ + \delta c \delta c^+$ ,  
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# Properties

The fundamental equation

$$\iota_{Q_M} \omega_M = dS_M + \pi_M^* \alpha_{\partial M}^{\partial} \quad (1)$$

has several consequences. Among them

- 1  $Q_M$  is not symplectic

$$L_{Q_M} \omega_M = \pi_M^* \omega_{\partial M}^{\partial}$$

- 2 **Modified CME (mCME)**

$$“(S_M, S_M)” := \iota_{Q_M} \iota_{Q_M} \omega_M = \pi_M^* (2S_{\partial M}^{\partial})$$

## Boundaries of boundaries

- On every boundary component  $\Sigma$ , we now have a BFV manifold  $(\mathcal{F}_\Sigma^\partial, \omega_\Sigma^\partial, Q_\Sigma^\partial)$ . Assume it is given by local data. Let  $S_\Sigma^\partial$  be the Hamiltonian function of  $Q_\Sigma^\partial$ :  $\iota_{Q_\Sigma^\partial} \omega_\Sigma^\partial = dS_\Sigma^\partial$ .
- If  $\Sigma$  has a boundary  $\gamma$ , we may repeat the previous construction verbatim. We get
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  - 2 The fundamental equation

$$\iota_{Q_\Sigma^\partial} \omega_\Sigma^\partial = dS_\Sigma^\partial + \pi_\Sigma^* \alpha_\Sigma^{\partial\partial}$$

- 3 and so on.

### Remark

It makes sense however to stop if the fibers of the correspondences become infinite dimensional. In TFTs and in 2d YM one can go down up to dimension zero (fully extended field theories).

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## Example: EM

- **Boundary fields:**  $A, B, A^+, c$ ,  $S_{\Sigma}^{\partial} = \int_{\Sigma} c dB$ ,  
 $\alpha_{\Sigma}^{\partial} = \int_{\Sigma} B \delta A + A^+ \delta c$ ,  $Q^{\partial} A^+ = dB$ ,  $Q^{\partial} A = dc$ .
- **Boundary of boundary:**  $\gamma = (d - 2)$ -manifold  
 BB fields:  $B, c$ ,  $\alpha_{\gamma}^{\partial\partial} = \int_{\gamma} B \delta c$ , of degree  $+1$   
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## Quantization

- 1 The first step is to fix a **polarization**  $\mathcal{P}$  on  $\mathcal{F}_{\partial M}^{\partial}$ . We assume that the leaf space  $\mathcal{B}_{\partial M}^{\mathcal{P}}$  is smooth. We set

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We also assume for simplicity that the 1-form  $\alpha_{\partial M}^{\partial}$  vanishes on fibers. (We also allow shifting it by an exact 1-form if necessary.)

- 2 We assume a splitting of the fibration  $\mathcal{F}_M \rightarrow \mathcal{B}_{\partial M}^{\mathcal{P}}$

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- 3 Such a splitting leads to a fiberwise version of the mCME. As a result the exponential of the action is  $\Delta$ -closed only up to boundary terms that can be summarized as the action of a **differential operator**  $\Omega_{\partial M}^{\mathcal{P}}$  on  $\mathcal{B}_{\partial M}^{\mathcal{P}}$  that quantizes  $S_{\partial M}^{\partial}$

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## Perturbative quantization

- 1 Define

$$\psi_M = \int_{\mathcal{L}} e^{\frac{i}{\hbar} S_M} \in \mathcal{H}_{\partial M}^{\mathcal{P}}$$

where  $\mathcal{L}$  is a Lagrangian submanifold of  $\mathcal{Y}$ .

- 2 By the standard techniques in BV, one gets

$$\Omega_{\partial M}^{\mathcal{P}} \psi_M = 0.$$

Moreover, changing gauge fixing modifies  $\psi_M$  by an  $\Omega_{\partial M}$ -exact term. Thus,

$\psi_M$  defines a class in the physical space  $H_{\Omega_{\partial M}}^0(\mathcal{H}_{\partial M}^{\mathcal{P}})$ .

## Residual fields

Usually, the only way of computing the functional integral is to **perturb around a quadratic theory**.

Let  $S^0$  be the quadratic theory. Denote by  $\mathcal{V}_M^{\mathcal{P}}$  the space of **critical points of  $S^0$  relative to the boundary polarization  $\mathcal{P}$  modulo symmetries**.

- 1 We assume a symplectic splitting

$$y = \mathcal{V}_M^{\mathcal{P}} \times y'$$

- 2 We now define  $\psi_M$  as a BV-pushforward:

$$\psi_M = \int_{\mathcal{L}'} e^{\frac{i}{\hbar} S_M} \in \mathcal{H}_{\partial M}^{\mathcal{P}} \otimes \mathcal{Z}_M^{\mathcal{P}}$$

where  $\mathcal{L}'$  is a Lagrangian submanifold of  $y'$  and

$$\mathcal{Z}_M^{\mathcal{P}} = \text{functions on } \mathcal{V}_M^{\mathcal{P}}$$

- 3 We finally get the **modified quantum master equation (mQME)**

$$(\hbar^2 \Delta_{\mathcal{V}_M^{\mathcal{P}}} \psi_M + \Omega_{\partial M}^{\mathcal{P}}) \psi_M = 0$$

## Axiomatics

- To each  $(d - 1)$ -manifold  $\Sigma$  we associate a **complex**  $(\mathcal{H}_\Sigma, \Omega_\Sigma)$ .
- To each  $d$ -manifold  $M$  we as associate a **state**  $\psi_M$  **satisfying the mQME**.
- **Plus functorial properties.**

In particular, gluing is given by pairing states and doing a **BV-pushforward**

$$\mathcal{V}_{M_1} \times \mathcal{V}_{M_1} \rightarrow \mathcal{V}_{M_1 \cup_\Sigma M_2}$$

### Remark

The full power of this approach is that we may cut the original manifold  $M$  into simple, or tiny, pieces; do the perturbative quantization there; and eventually glue and reduce.

This could provide some new insight for physical theories.

In TFTs it yields a perturbative version of Atiyah's axioms. We expect to be able to compute, e.g., perturbative CS invariants.



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## BF theory

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$$S_M = \int_M \left\langle B, dA + \frac{1}{2}[A, A] \right\rangle, \quad A \in \Omega(M, \mathfrak{g}), \quad B \in \Omega(M, \mathfrak{g}^*)$$

- Here

$$S_M^0 = \int_M \langle B, dA \rangle$$

It turns out that  $\mathcal{V}_M$  is the odd cotangent bundle of the (relative) cohomology of  $M$  with values in  $\mathfrak{g}$ .

- The gluing in the quadratic theory consists of
  - Gluing of torsions
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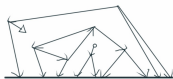
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# Nonabelian $BF$ theory



**Figure:**  $\frac{\delta}{\delta B}$ -polarization



**Figure:**  $\frac{\delta}{\delta A}$ -polarization

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